### TEXAS MEDICAL BOARD MINUTES OF THE DISCIPLINARY PROCESS REVIEW COMMITTEE June 10, 2021 9:30 am

Chair, Manuel Quinones, Jr., M.D., called the meeting to order at 9:33 a.m. Other members present were Sharon Barnes, James S. Distefano, D.O., Robert Gracia, LuAnn Morgan, and Sherif Zaafran, M.D. Devinder S. Bhatia, M.D., Jason K. Tibbles, M.D., and David G. Vanderweide, M.D. were not in attendance.

Staff members present were Stephen Brint Carlton, J.D., Executive Director, Robert Bredt, M.D., Medical Director and various other staff.

**Agenda Item #2.** Discussion, recommendation, and possible action regarding referrals from the Texas Physician Health Program (TXPHP).

Ms. Morgan moved and Ms. Barnes seconded that TXPHP Case Nos. 10-0119-A, 18-0217, 19-0397-A, 20-0211, 20-0322, 20-0601, and 20-1103 remain under the management of TXPHP. Motion carried.

Ms. Barnes moved and Ms. Morgan seconded that TXPHP Case Nos. 14-0180, 15-0293, 16-0140, 18-0414, 20-1209 be returned to the jurisdiction of the Texas Medical Board. Motion carried.

**Agenda Item #3.** Discussion, recommendation, and possible action regarding renewals involving impaired licensees. (none)

**Agenda Item #4.** Reports and discussion regarding the Investigation, Litigation, and Compliance Departments.

Mr. Carlton presented the Enforcement Activity Report for the Fourth meeting in FY2021 a copy of which is attached. This was an informational item only and no vote was necessary.

**Agenda Item #5**. Discussion, recommendation, and possible action regarding Rule Review and proposed changes to the following chapters in Title 22 of the Texas Administrative Code:<sup>3</sup> (none)

Agenda Item #6. Review, discussion, and possible action regarding review of probationer's appearances. (none)

Agenda Item #7. Consideration and approval of membership of the Expert Physician Panel.

Ms. Barnes moved and Dr. Distefano seconded to approve the eleven appointments of the Expert Panel members list. Motion carried.

Agenda Item #8. Reports and discussion regarding cases over one year old.

Mr. Carlton presented to the Committee the current status of cases that are over one year old. This was an informational item only and no vote was necessary.

At 9:47 am, Mr. Gracia moved and Dr. Distefano seconded, to go into Executive Session for deliberations concerning disciplinary action, investigative information, peer review information, and rehabilitation orders under the authority of the Medical Practice Act Sections 152.009, 160.006 through 160.008, 164.007(c), Texas Occupations Code. Open session resumed at 1:43 p.m., and it was announced that no action was taken during executive session. A certified agenda of the executive session was made.

**Agenda Item #9.** Review, discussion, and possible action regarding appeals of dismissed complaints. A. Jurisdictional Complaints: i. 20-0529, 20-0532, 20-3116, 20-5639, **20-5681\***, 20-7442, 20-7688, 20-8251, **20-8355\***, 20-8718, 20-8739, 20-8740, 20-9111, 20-9113 ii. 20-0281, 20-0972, 20-1004, 20-1024, 20-1058, 21-0096, 21-0133 B. Jurisdictional-Not-Filed Complaints: 20-6236, 21-0171, 21-0640, 21-1096, **21-1114\***, **21-1115\***, **21-1116\***, 21-1254, 21-1266, 21-1313, 21-1368, 21-1398, 21-1502, 21-1627, 21-1780, 21-1829, 21-2023, **21-2089\***, 21-2164, 21-2180, 21-2250, 21-2254, 21-2276, 21-2282, 21-2512, 21-2517, 21-2517, 21-2540, 21-2618, 21-2618, 21-2619, 21-2620, 21-2621, 21-2665, 21-2744, 21-2823, 21-3010, 21-3012, 21-3029, 21-3071, 21-3072, 21-3083, 21-3241, 21-3243, 21-3281, 21-3284, 21-3365, 21-3418, 21-3437, 21-3448, 21-3517, **21-3550\***, 21-3615, 21-3631, **21-3655\***, 21-3660, 21-3661, 21-3700, 21-3721, 21-3769, 21-3775, 21-3809, 21-3873, 21-3915, 21-3916, 21-3917, 21-3918, 21-3929, 21-3932, 21-3933, 21-3938, 21-4040, 21-4042, **21-4057\***, 21-4102, 21-4252, 21-4290, 21-4303, 21-4383, 21-4407, 21-4479, 21-4495, **21-4500\***, **21-4618\***, 21-4641, 21-4678, 21-4719, 21-4725, 21-4747, 21-4800, 21-4811, 21-4847, 21-4848 C. Non-Jurisdictional Complaints: 20-7061, 21-2031, **21-4928\***, **21-4930\*** 

Dr. Quinones moved and Ms. Barnes seconded that the appeal of Item 9.A.i. Log #20-5681 be denied. Motion carried.

Dr. Distefano moved and Ms. Morgan seconded that the appeal of Item 9.A.i. Log #20-8355 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.A.i. Log #20-3116 be granted. Motion carried.

Ms. Barnes moved and Ms. Morgan seconded that all remaining appeals under Agenda Item 9.A.i above be denied. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.A.ii. Log #20-0281 be granted. Motion carried.

Ms. Barnes moved and Ms. Morgan seconded that all appeals under Agenda Item 9.A.ii above be denied. Motion carried.

Dr. Quinones moved and Ms. Morgan seconded that the appeal of Item 9.B. Log #21-1114 be granted. Motion carried.

Dr. Quinones moved and Ms. Morgan seconded that the appeal of Item 9.B. Log #21-1115 be granted. Motion carried.

Dr. Quinones moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-1116 be denied. Motion carried.

Dr. Quinones moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-2089 be denied. Motion carried.

Dr. Distefano moved and Ms. Morgan seconded that the appeal of Item 9.B. Log #21-3550 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-3655 be denied. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-4057 be granted. Motion carried.

Dr. Distefano moved and Mr. Gracia seconded that the appeal of Item 9.B. Log #21-4500 be denied. Motion carried.

Dr. Quinones moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-4618 be deny. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-2618 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-2744 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-3721 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-3915 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-3916 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-3918 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-4407 be granted. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.B. Log #21-4725 be granted. Motion carried.

Ms. Barnes moved and Dr. Distefano seconded that all other remaining appeals under Agenda Item 9.B not specifically granted above be denied. Motion carried.

Dr. Zaafran moved and Ms. Barnes seconded that the appeal of Item 9.C. Log #21-4928 be denied. Motion carried.

Dr. Zaafran moved and Ms. Morgan seconded that the appeal of Item 9.C. Log #21-4930 be denied. Motion carried.

Ms. Morgan moved and Ms. Barnes seconded that all other remaining appeals under Agenda Item 9.C not specifically granted above be denied. Motion carried.

**Agenda Item #10**. Discussion, recommendation, and possible action regarding routine followup on investigative files previously referred from the DPRC for follow-up action, specifically including:

Dr. Distefano moved and Mr. Gracia seconded that follow-up file #20-0599 be dismissed. Motion carried. Direction to staff, refer to the Texas Board of Nursing.

Dr. Distefano moved and Dr. Zaafran seconded that follow-up file #20-7031 be dismissed. Motion carried. Direction to staff, refer to the Texas Board of Nursing and to the Department of State Health Services.

Mr. Gracia moved and Ms. Barnes seconded that follow-up file #20-8288 be dismissed. Motion carried.

Agenda Item #11. Review, discussion, and possible action regarding selected files and cases.

Ms. Barnes moved and Dr. Distefano seconded that all files under Agenda Item 11 QA rejected Non Standard of Care files be resolved as noted on the individual sign-off sheets. Motion carried.

Ms. Barnes moved and Mr. Gracia seconded that all files under Agenda Item 11 QA rejected Standard of Care files be resolved as noted on the individual sign-off sheets. Motion carried.

Ms. Barnes moved and Ms. Morgan seconded that all files under Agenda Item 11 Non Standard of Care files be resolved as noted on the individual sign-off sheets. Motion carried.

Ms. Barnes moved and Mr. Gracia seconded that all files under Agenda Items 11 Standard of Care files for review be resolved as noted on the individual sign-off sheets. Motion carried.

Ms. Barnes moved and Dr. Distefano seconded that Legal SOAH Case 20-0920 Agenda Item 11 be dismissed. Motion carried.

Ms. Barnes moved and Mr. Gracia seconded that all files under Agenda Items 11. 3. a. Warning Letters for review be accepted. Motion carried.

Ms. Barnes moved and Dr. Distefano seconded that all files under Agenda Items 11. 3. c. Pre-Investigation JNF for review be accepted. Motion carried.

Agenda Item #12 Adjourn.

Ms. Barnes moved and Ms. Morgan seconded that the meeting adjourn.

Motion carried at 2:33 p.m.

# Texas Medical Board Disciplinary Process Review Committee TXPHP - Participant Case Review June 10, 2021

| Case # | <b>Remain with PHP</b> | Accepted by TMB | Continue |
|--------|------------------------|-----------------|----------|
| 1      | X                      |                 |          |
| 2      |                        | X               |          |
| 3      |                        | X               |          |
| 4      |                        | X               |          |
| 5      | X                      |                 |          |
| 6      |                        | X               |          |
| 7      | X                      |                 |          |
| 8      | X                      |                 |          |
| 9      | X                      |                 |          |
| 10     | X                      |                 |          |
| 11     | X                      |                 |          |
| 12     |                        | X               |          |

#### Expert Panel Members for Board Approval June 2021

| LAST      | FIRST      | LIC # | CITY       | SPECIALTY                      |
|-----------|------------|-------|------------|--------------------------------|
| Combs     | Shea       | M1385 | Austin     | Emergency Medicine             |
| Dafashy   | Deya       | L1026 | Pasadena   | OB/GYN                         |
| Frumovitz | Michael    | L2260 | Houston    | Gynecology Oncology            |
| Harper    | Brian      | E8537 | Cameron    | Ophthalmology                  |
| Hoover    | Jason      | P6641 | Bryan      | Neuro Surgery                  |
| Ingram    | Ronald     | H2481 | Midland    | Ophthalmology                  |
| LaRoche   | Howard     | J0562 | Galveston  | Neurology                      |
| Millet    | Yoann      | R6627 | Shenandoah | Anesthesiology-Pain Management |
| O'Suoji   | Chibuzo    | R6860 | Lubbock    | Pediatric Hematology/Oncology  |
| Sanjoto   | Peni       | S2496 | Galveston  | Anesthesiology                 |
| Woodard   | Le'Chauncy | K9705 | Houston    | Internal Medicine              |

| INVESTIGATIONS SI<br>Third           | Quarter 2021 |         |         |         |
|--------------------------------------|--------------|---------|---------|---------|
| NVESTIGATIONS SECTION                | 3/1 to 5/31  | FY 2021 | FY 2020 | FY 2019 |
| Current Investigations               | 703          | 695     | 738     | 119     |
| Physician                            | 568          | 556     | 585     | 91      |
| Physician Assistant                  | 38           | 42      | 22      | 3       |
| Acupuncturist                        | 0            | 2       | 0       |         |
| Surgical Assistant                   | 0            | 0       | 3       |         |
| SB202/Other                          | 94           | 98      | 128     | 24      |
| Investigations Opened                | 453          | 1260    | 1915    | 212     |
| Physician                            | 340          | 1034    | 1493    | 165     |
| Physician Assistant                  | 17           | 58      | 55      | 8       |
| Acupuncturist                        | 0            | 3       | 1       |         |
| Surgical Assistant                   | 0            | 1       | 6       |         |
| SB202/Other                          | 36           | 102     | 360     | 38      |
| Investigations Completed             | 430          | 1309    | 2449    | 222     |
| Physician                            | 367          | 1103    | 1888    | 169     |
| Physician Assistant                  | 19           | 45      | 72      | 8       |
| Acupuncturist                        | 2            | 3       | 6       |         |
| Surgical Assistant                   | 0            | 4       | 3       |         |
| Unknown/Other                        | 42           | 143     | 480     | 43      |
| Jurisdictional Not Filed Complaints  | 970          | 2636    | 3636    | 354     |
| Physician                            | 881          | 2467    | 3360    | 323     |
| Physician Assistant                  | 45           | 114     | 180     | 16      |
| Acupuncturist                        | 2            | 4       | 1       |         |
| Surgical Assistant                   | 1            | 2       | 3       |         |
| SB202/Other                          | 35           | 52      | 92      | 15      |
| Non-Jurisdictional Complaints        | 680          | 2098    | 3376    | 312     |
| Physician                            | 195          | 698     | 1083    | 101     |
| No active license                    | 2            | 10      | 12      | 2       |
| Duplicate allegations                | 14           | 117     | 116     | 8       |
| Referred to another entity           | 5            | 16      | 25      | 1       |
| No identifable patient/licensee      | 1            | 5       | 129     | 13      |
| Improperly requested medical records | 24           | 72      | 120     | 13      |
| Unlicensed Staff                     | 0            | 0       | 0       |         |
| Misc./Custom                         | 146          | 460     | 681     | 62      |
|                                      |              |         |         |         |

| NVESTIGATIONS SECTION (CON'T)   | 3/1 to 5/31   | FY 2021  | FY 2020  | FY 2019   |
|---|---|--|--|---|
| Physician Assistant   | 9   | 30   | 62   | 77  |
| No active license   | 0   | 1  | 1  | 0   |
| Duplicate allegations   | 1   | 1  | 19   | 29  |
| Referred to another entity  | 0   | 0  | 0  | 0   |
| No identifable patient/licensee   | 0   | 0  | 1  | 3   |
| Improperly requested medical records  | 0   | 3  | 2  | 2   |
| Misc./Custom  | 8   | 25   | 39   | 43  |
| Acupuncturist   | 0   | 0  | 1  | 3   |
| No active license   | 0   | 0  | 0  | 0   |
| Duplicate allegations   | 0   | 0  | 0  | 0   |
| Referred to another entity  | 0   | 0  | 0  | 0   |
| No identifable patient/licensee   | 0   | 0  | 0  | 0   |
| Improperly requested medical records  | 0   | 0  | 0  | 0   |
| Misc./Custom  | 0   | 0  | 1  | 3   |
| Surgical Assistant  | 0   | 0  | 0  | 0   |
| No active license   | 0   | 0  | 0  | 0   |
| Duplicate allegations   | 0   | 0  | 0  | 0   |
| Referred to another entity  | 0   | 0  | 0  | 0   |
| No identifable patient/licensee   | 0   | 0  | 0  | 0   |
| Improperly requested medical records  | 0   | 0  | 0  | 0   |
| Misc./Custom  | 0   | 0  | 0  | 0   |
| Unknown/Other   | -   |  |  |   |
| No active license   | 474   | 1368   | 2230   | 2030  |
|   | 29<br>5   | 100  | 0  | 6   |
| Duplicate allegations   |   | 5  | 23   | 8   |
| Referred to another entity  | 158   | 553  | 935  | 713   |
| No identifable patient/licensee   | 52  | 54   | 426  | 518   |
| Improperly requested medical records  | 0   | 1  | 5  | 14  |
| Unlicensed Staff  | 0   | 0  | 0  | 0   |
| SB202/Other Custom  | 235   | 662  | 841  | 771   |
| 1-800 Complaint Calls (All)<br>Complaint Forms Sent (All)   | 240   | 0<br>UNK   | 3994<br>3001   | 3895<br>3072  |
|   |   |  |  |   |
|   |   |  |  |   |
| PRE-INVESTIGATIONS SECTION (CON'T)  | 3/1 to 5/31   | FY 2021  | FY 2020  | FY 2019   |
| Warning Letters   |   |  |  | N/A   |
| Warning Letters<br>Physician  | 33  | 108  | 76   | N/A<br>N/A  |
| Warning Letters<br>Physician<br>Physician Assistant   | 33  | 108<br>5   | 76<br>1  | N/A<br>N/A<br>N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist   | 33<br>2<br>0  | 108<br>5<br>0  | 76<br>1<br>0   | N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant  | 33<br>2<br>0<br>0   | 108<br>5<br>0<br>0   | 76<br>1<br>0<br>0  | N/A<br>N/A<br>N/A<br>N/A<br>N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist   | 33<br>2<br>0  | 108<br>5<br>0  | 76<br>1<br>0<br>0  | N/A<br>N/A<br>N/A<br>N/A  |
| Warning LettersPhysicianPhysician AssistantAcupuncturistSurgical AssistantSB202/Other   | 33<br>2<br>0<br>0   | 108<br>5<br>0<br>0   | 76<br>1<br>0<br>0  | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other  | 33<br>2<br>0<br>0<br>0  | 108<br>5<br>0<br>0<br>1  | 76<br>1<br>0<br>0<br>3   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician   | 33<br>2<br>0<br>0<br>0<br>0   | 108<br>5<br>0<br>0<br>1  | 76<br>1<br>0<br>0<br>3<br>3  | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>3   | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0   | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0   | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0  | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0  | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         Acupuncturist         Surgical Assistant  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>3   | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0   | 76<br>1<br>0<br>0<br>3<br>3<br>  | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         SB202/Other  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0                               | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0<br>0   | 76<br>1<br>0<br>0<br>3<br>3<br>  | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0                          | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0<br>0<br>0  | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>8<br>8      | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>16                              | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician         Physician         Physician         Physician         Physician         Physician         Physician   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>16<br>0<br>0               | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         Acupuncturist         Acupuncturist  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician         Physician         Physician         Physician         Physician         Physician         SB202/Other  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>5<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | 76<br>1<br>0<br>0<br>0<br>3<br>3<br>   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         Acupuncturist         Acupuncturist  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>0<br>3<br>3<br>   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician         Physician         Physician         Physician         Physician         Physician         SB202/Other  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>5<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | 76<br>1<br>0<br>0<br>0<br>3<br>3<br>   | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         SB202/Other         Using a special Assistant         SB202/Other         Surgical Assistant         SB202/Other | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>5<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | 76<br>1<br>0<br>0<br>3<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         Acupuncturist         SB202/Other         Waiver Orders (out of state discipline)         Physician Assistant         SB202/Other         Consent Orders         Physician         Physician  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A<br>N/A  |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician Assistant         SB202/Other         Consent Orders         Physician Assistant         Physician Physician Physician Assistant         SB202/Other  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician Assistant         SB202/Other         Consent Orders         Physician         Physician Assistant         SB202/Other   | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | N/A   |
| Warning Letters         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Remedial Plans         Physician         Physician Assistant         Acupuncturist         Surgical Assistant         Acupuncturist         Surgical Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician         Physician Assistant         SB202/Other         Waiver Orders (out of state discipline)         Physician Assistant         SB202/Other         Consent Orders         Physician Assistant         Physician Physician Physician Assistant         SB202/Other  | 33<br>2<br>0<br>0<br>0<br>0<br>0<br>0<br>3<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 108<br>5<br>0<br>0<br>1<br>1<br>6<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0 | 76<br>1<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0<br>0      | N/A         N |

| LITIGATION SECTION                  | 3/1 to 5/31 | FY 2021    | FY 2020    | FY 2019 |
|-------------------------------------|-------------|------------|------------|---------|
|                                     |             |            |            |         |
| Current Caseload                    | 532<br>386  | 545<br>397 | 566<br>409 | 530     |
| Physician Physician Assistant       |             |            |            | 346     |
| Physician Assistant                 | 22          | 20         | 24         | 26      |
| Acupuncturist                       | 4           | 3          | 2          | 4       |
| Surgical Assistant                  | 1           | 1          | 1          | 0       |
| Respiratory Care Practitioners      | 29          | 30         | 37         | 48      |
| Med. Radiologic Technologists       | 41          | 43         | 59         | 89      |
| Medical Physicists                  | 0           | 0          | 0          | 0       |
| Perfusionists                       | 0           | 0          | 0          | 0       |
| Other                               | 52          | 53         | 34         | 18      |
| Cases Received                      | 208         | 518        | 1012       | 1048    |
| Physician                           | 154         | 390        | 671        | 702     |
| Physician Assistant                 | 14          | 24         | 32         | 43      |
| Acupuncturist                       | 2           | 3          | 4          | 6       |
| Surgical Assistant                  | 0           | 1          | 1          | 0       |
| Respiratory Care Practitioners      | 6           | 19         | 64         | 100     |
| Med. Radiologic Technologists       | 14          | 33         | 176        | 156     |
| Medical Physicists                  | 0           | 0          | 0          | C       |
| Perfusionists                       | 0           | 0          | 1          | 1       |
| Other                               | 15          | 40         | 63         | 40      |
| I.S.C./Show Compliance Proceedings  | 130         | 452        | 515        | 728     |
| Physician                           | 106         | 372        | 414        | 519     |
| Physician Assistant                 | 7           | 23         | 16         | 32      |
| Acupuncturist                       | 2           | 8          | 1          | 2       |
| Surgical Assistant                  | 0           | 1          | 0          | 2       |
| Respiratory Care Practitioners      | 8           | 15         | 38         | 64      |
| Med. Radiologic Technologists       | 4           | 33         | 46         | 108     |
| Medical Physicists                  | 0           | 0          | 0          | 1       |
| Perfusionists                       | 0           | 0          | 0          | C       |
| Other                               | 2           | 3          | 0          | C       |
| Temporary Suspension Hearings       | 6           | 28         | 51         | 41      |
| Physician                           | 3           | 17         | 38         | 28      |
| Physician Assistant                 | 0           | 1          | 3          | 4       |
| Acupuncturist                       | 0           | 0          | 0          | 0       |
| Surgical Assistant                  | 0           | 0          | 0          | (       |
| Respiratory Care Practitioners      | 2           | 5          | 6          | 3       |
| Med. Radiologic Technologists       | 1           | 5          | 4          | 6       |
| Medical Physicists                  | 0           | 0          | 0          | (       |
| Perfusionists                       | 0           | 0          | 0          | (       |
| Other                               | 0           | 0          | 0          | 0       |
| Number of Cases pending at S.O.A.H. | 48          | 46         | 25         | 76      |
| Physician                           | 31          | 35         | 19         | 52      |
| Physician Assistant                 | 0           | 0          | 0          | 2       |
| Acupuncturist                       | 1           | 1          | 0          |         |
| Surgical Assistant                  | 0           | 0          | 0          | (       |
| Respiratory Care Practitioners      | 8           | 4          | 3          | 12      |
| Med. Radiologic Technologists       | 6           | 3          | 3          | (       |
| Medical Physicists                  | 0           | 0          | 0          | (       |
| Perfusionists                       | 0           | 0          | 0          | (       |
| Other                               | 0           | 0          | 0          | (       |

| LITIGATION SECTION (CON'T)          | 3/1 to 5/31 | FY 2021 | FY 2020 | FY 2019 |
|-------------------------------------|-------------|---------|---------|---------|
| Formal Complaints Filed at S.O.A.H. | 13          | 50      | 36      | 82      |
| Physician                           | 9           | 33      | 17      | 47      |
| Physician Assistant                 | 0           | 0       | 0       | 3       |
| Acupuncturist                       | 1           | 1       | 0       | 1       |
| Surgical Assistant                  | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | 1           | 8       | 7       | 13      |
| Med. Radiologic Technologists       | 2           | 8       | 12      | 18      |
| Medical Physicists                  | 0           | 0       | 0       | 0       |
| Perfusionists                       | 0           | 0       | 0       | 0       |
| Other                               | 0           | 0       | 0       | 0       |
| Hearings with S.O.A.H.              | 6           | 13      | 7       | 12      |
| Physician                           | 1           | 5       | 6       | 11      |
| Physician Assistant                 | 0           | 0       | 0       | 1       |
| Acupuncturist                       | 0           | 0       | 0       | 0       |
| Surgical Assistant                  | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | 2           | 3       | 0       | 0       |
| Med. Radiologic Technoligists       | 3           | 5       | 1       | 0       |
| Medical Physicists                  | 0           | 0       | 0       | 0       |
| Perfusionists                       | 0           | 0       | 0       | 0       |
| Other                               | 0           | 0       | 0       | 0       |
| S.O.A.H. Cases - Agreed Orders      | 2           | 6       | 34      | 30      |
| Physician                           | 2           | 5       | 29      | 29      |
| Physician Assistant                 | 0           | 0       | 1       | 1       |
| Acupuncturist                       | 0           | 0       | 0       | 0       |
| Surgical Assistant                  | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | 0           | 1       | 0       | 0       |
| Med. Radiologic Technologists       | 0           | 0       | 4       | 0       |
| Medical Physicists                  | 0           | 0       | 4       | 0       |
| Perfusionists                       |             |         | 0       | 0       |
| Other                               | 0           | 0       | 0       |         |
| S.O.A.H. Cases - Mediated Orders    | 0           | 0       | 5       | 0 7     |
| Physician                           | 0           |         | 4       | 7       |
| Physician Assistant                 | 0           | 0       | 4       |         |
| Acupuncturist                       |             | 0       | 0       | 0       |
| Surgical Assistant                  | 0           | 0       |         | 0       |
|                                     | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | •           |         | v       | •       |
| Med. Radiologic Technologists       | 0           | 0       | 0       | 0       |
| Medical Physicists Perfusionists    | 0           | 0       | 0       | 0       |
|                                     | 0           | 0       | 0       | 0       |
| Other                               | 0           | 0       | 0       | 0       |
| Mediation Conferences (S.O.A.H.)    | 2           | 2       | 9       | 11      |
| Physician                           | 2           | 2       | 9       | 10      |
| Physician Assistant                 | 0           | 0       | 0       | 1       |
| Acupuncturist                       | 0           | 0       | 0       | 0       |
| Surgical Assistant                  | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | 0           | 0       | 0       | 0       |
| Med. Radiologic Technologists       | 0           | 0       | 0       | 0       |
| Medical Physicists                  | 0           | 0       | 0       | 0       |
| Perfusionists                       | 0           | 0       | 0       | 0       |
| Other                               | 0           | 0       | 0       | 0       |
| Contested Hearings (S.O.A.H.)       | 0           | 1       | 37      | 29      |
| Physician                           | 0           | 1       | 11      | 11      |
| Physician Assistant                 | 0           | 0       | 0       | 2       |
| Acupuncturist                       | 0           | 0       | 1       | 0       |
| Surgical Assistant                  | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners      | 0           | 0       | 11      | 5       |
| Med. Radiologic Technologists       | 0           | 0       | 14      | 11      |
| Medical Physicists                  | 0           | 0       | 0       | 0       |
| Perfusionists                       | 0           | 0       | 0       | 0       |
| Other                               | 0           | 0       | 0       | 0       |

| LITIGATION SECTION (CON'T)        | 3/1 to 5/31 | FY 2021 | FY 2020 | FY 2019 |
|-----------------------------------|-------------|---------|---------|---------|
|                                   |             |         |         |         |
| % of current caseload at S.O.A.H. | 8.5%        | 8.5%    | 4.0%    | 14.0%   |
| Physician                         | 8.0%        | 8.0%    | 0.0%    | 0.0%    |
| Physician Assistant               | 0.0%        | 0.0%    | 0.0%    | 0.0%    |
| Acupuncturist                     | 25.0%       | 25.0%   | 0.0%    | 0.0%    |
| Surgical Assistant                | 0.0%        | 0.0%    | 0.0%    | 0.0%    |
| Respiratory Care Practitioners    | 27.6%       | 27.6%   | 0.0%    | 0.0%    |
| Med. Radiologic Technologists     | 14.6%       | 14.6%   | 0.0%    | 0.0%    |
| Medical Physicists                | 0.0%        | 0.0%    | 0.0%    | 0.0%    |
| Perfusionists                     | 0.0%        | 0.0%    | 0.0%    | 0.0%    |
| Other                             | 0.0%        | 0.0%    | 0.0%    | 0.0%    |
| Probation Appearances             | 0           | 0       | 1       | 0       |
| Physician                         | 1           | 1       | 0       | 0       |
| Physician Assistant               | 0           | 1       | 0       | 0       |
| Acupuncturist                     | 0           | 0       | 1       | 0       |
| Surgical Assistant                | 0           | 0       | 0       | 0       |
| Respiratory Care Practitioners    | 0           | 0       | 0       | 0       |
| Med. Radiologic Technologists     | 0           | 0       | 0       | 0       |
| Medical Physicists                | 0           | 0       | 0       | 0       |
| Perfusionists                     | 0           | 0       | 0       | 0       |
| Other                             | 0           | 0       | 0       | 0       |

|                        | Second Quarter 2021 | 1       |        |         |
|------------------------|---------------------|---------|--------|---------|
| MPLIANCE SECTION       | 3/1 to 5/31         | FY 2021 | FY2020 | FY 2019 |
| Current Probationers   | 678                 | 680     | 687    | 68      |
| Physician              | 437                 | 436     | 434    | 48      |
| Physician Assistant    | 34                  | 34      | 35     |         |
| Acupuncturist          | 2                   | 3       | 3      |         |
| Physician in Training  | 2                   | 2       | 3      |         |
| Surgical Assistant     | 1                   | 1       | 1      |         |
| SB202/Other            | 202                 | 205     | 211    | 1       |
| Drug Screens Conducted | 601                 | 2141    | 2997   | 30      |
| Physician              | 161                 | 861     | 2286   | 21      |
| Physician Assistant    | 11                  | 43      | 74     | 1       |
| Acupuncturist          | 0                   | 0       | 0      |         |
| Physician in Training  | 0                   | 0       | 0      |         |
| Surgical Assistant     | 0                   | 0       | 0      |         |
| SB202/Other            | 47                  | 374     | 637    | 7       |

|   | ARD DECISION | IS      |         |         |
|---|--------------|---------|---------|---------|
| Second (                                  | Quarter 2021 |         |         |         |
| MEDICAL BOARD DECISIONS                   | 3/1 to 5/31  | FY 2021 | FY 2020 | FY 2019 |
| Disciplinary Actions                      |              |         |         |         |
| Temporary Disciplinary Actions            |              |         |         |         |
| Suspensions                               | 3            | 15      | 30      | 24      |
| Restrictions                              | 0            | 2       | 5       | 3       |
| Final Disciplinary Actions (INC)          |              |         |         |         |
| Revocations/Surrenders                    | 5            | 8       | 25      | 42      |
| Suspensions                               | 2            | 6       | 13      | 24      |
| Public Reprimands                         | 9            | 15      | 35      | 38      |
| Restrictions                              | 26           | 54      | 100     | 124     |
| Administrative Penalties                  | 0            | 0       | 2       | 0       |
| Cease and Desist                          | 6            | 17      | 22      | 19      |
| Licensed with Conditions                  |              | 0       | 10      | 5       |
| License Denied following S.O.A.H. Hearing |              | 0       | 0       | 0       |
| Total Disciplinary Decisions              | 48           | 65      | 242     | 279     |
| Remedial Plans                            | 42           |         |         |         |
| Licensure Remedial Plans                  |              | 0       | 10      | 8       |
| Total Penalty Value                       | 38,000       | 52,000  | 221,296 | 251,000 |
| Total Actions                             | 93           | 257     | 374     | 418     |
| Compliance MR/TR Requests                 |              |         |         |         |
| Modification Requests                     |              |         |         |         |
| Granted                                   | 6            | 6       | 13      | 14      |
| Denied                                    | 0            | 0       | 1       | 1       |
| Termination Requests                      |              |         |         | · · ·   |
| Granted                                   | 9            | 21      | 24      | 37      |
| Denied                                    | 7            | 7       | 6       | 8       |
| Legal <u>Dismissals</u>                   | 80           | 208     | 311     | 339     |
|   |              |         |         |         |

|   | ++                         |          |         |         |
|---|----------------------------|----------|---------|---------|
|   |                            |          |         |         |
| PHYSICIAN ASSISTA<br>Third Qu             | NT BOARD DE<br>uarter 2021 | ECISIONS |         |         |
| A. BOARD DECISIONS                        | 3/1to 5/31                 | FY 2021  | FY 2020 | FY 2019 |
| Disciplinary Actions                      |                            |          |         |         |
| Temporary Disciplinary Actions            |                            |          |         |         |
| Suspensions                               | 0                          | 0        | 3       |         |
| Restrictions                              | 0                          | 1        | 0       |         |
| Final Disciplinary Actions                |                            |          |         |         |
| Revocations/Surrenders                    | 2                          | 3        | 2       |         |
| Suspensions                               | 0                          | 0        | 1       |         |
| Public Reprimands                         | 1                          | 2        | 2       |         |
| Restrictions                              | 2                          | 5        | 8       | 1       |
| Administrative Penalties                  | 0                          | 4        | 0       |         |
| Cease and Desist                          | 0                          | 0        | 0       |         |
| Licensed with Conditions                  | 0                          | 0        | 1       |         |
| License Denied following S.O.A.H. Hearing | 0                          | 0        | 0       |         |
| Total Disciplinary Decisions              | 0                          | 4        | 17      | 1       |
| Remedial Plans                            | 1                          | 4        | 10      |         |
| Licensure Remedial Plans                  | 0                          | 1        | 0       |         |
| Total Penalty Value                       | 7,500                      | 9,500    | 8,500   | 3,50    |
| Total Actions                             | 6                          | 14       | 27      | 2       |
| Compliance MR/TR Requests                 |                            |          |         |         |
| Modification Requests                     |                            |          |         |         |
| Granted                                   | 1                          | 1        | 2       |         |
| Denied                                    | 0                          | 0        | 0       |         |
| Termination Requests                      | 0                          | 0        | 0       |         |
| Granted                                   | 1                          | 3        | 3       |         |
| Denied                                    | 1                          | 0        | 0       |         |
| Dismissals                                | 5                          | 8        | 10      | 1       |
|   |                            | 0        | 10      | •       |
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|   | BOARD DECIS<br>warter 2021 | IONS     |          |          |
|---|----------------------------|----------|----------|----------|
|   |                            | F)/ 000/ | F)/ 0000 | E)/ 00/0 |
| CUPUNCTURE BOARD DECISIONS                | 3/1 to 5/31                | FY 2021  | FY 2020  | FY 2019  |
| isciplinary Actions                       |                            |          |          |          |
| Temporary Disciplinary Actions            | -                          | 0        | 0        | 0        |
| Suspensions                               | 0                          | 0        | 0        | 0        |
| Restrictions                              | 0                          | 0        | 0        | 0        |
| Final Disciplinary Actions                |                            |          |          |          |
| Revocations/Surrenders                    | 0                          | 0        | 2        | 1        |
| Suspensions                               | 0                          | 0        | 0        | 0        |
| Public Reprimands                         | 0                          | 0        | 0        | 0        |
| Restrictions                              | 0                          | 0        | 0        | 0        |
| Administrative Penalties                  | 0                          | 0        | 0        | 0        |
| Cease and Desist                          | 0                          | 0        | 0        | 0        |
| Licensed with Conditions                  | 0                          | 0        | 0        | 0        |
| License Denied following S.O.A.H. Hearing | 0                          | 0        | 0        | 0        |
| Total Disciplinary Decisions              | 0                          | 0        | 2        | 1        |
|   |                            |          |          |          |
| Remedial Plans                            | 0                          | 0        | 0        | 0        |
| Licensure Remedial Plans                  | 0                          | 0        | 0        | 0        |
| Total Penalty Value                       | 0                          | 0        | 0        | 0        |
| Total Actions                             | 0                          | 0        | 2        | 1        |
|   |                            |          |          |          |
| Compliance MR/TR Requests                 |                            |          |          |          |
| Modification Requests                     |                            |          |          |          |
| Granted                                   | 0                          | 0        | 0        | 0        |
| Denied                                    | 0                          | 0        | 0        | 0        |
| Termination Requests                      |                            |          |          |          |
| Granted                                   | 1                          | 1        | 1        | 0        |
| Denied                                    | 0                          | 0        | 0        | 0        |
| Dismissals                                | 1                          | 1        | 2        | 2        |
|   | 1                          | I        | 2        | ۲        |
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| Third Quarter 2021                        |             |         |         |         |  |
|---|-------------|---------|---------|---------|--|
| SURGICAL ASSISTANT DECISIONS              | 3/1 to 5/31 | FY 2021 | FY 2020 | FY 2019 |  |
| Disciplinary Actions                      |             | _       |         |         |  |
| Temporary Disciplinary Actions            |             |         |         |         |  |
| Suspensions                               | 0           | 0       | 0       | 0       |  |
| Restrictions                              | 0           | 0       | 0       | 0       |  |
| Final Disciplinary Actions                |             |         |         |         |  |
| Revocations/Surrenders                    | 0           | 0       | 0       | 0       |  |
| Suspensions                               | 0           | 0       | 0       | 0       |  |
| Public Reprimands                         | 0           | 0       | 0       | 0       |  |
| Restrictions                              | 0           | 0       | 0       | 0       |  |
| Administrative Penalties                  | 0           | 0       | 0       | 0       |  |
| Cease and Desist                          | 0           | 0       | 0       | 0       |  |
| Licensed with Conditions                  | 0           | 0       | 1       | 0       |  |
| License Denied following S.O.A.H. Hearing | 0           | 0       | 0       | 0       |  |
| Total Disciplinary Decisions              | 0           | 0       | 1       | 0       |  |
| <u></u>                                   |             | •       |         | 0       |  |
| Remedial Plans                            | 0           | 0       | 0       | 0       |  |
| Licensure Remedial Plans                  | 0           | 0       | 0       | 0       |  |
| Total Penalty Value                       | 0           | 0       | 500     | 0       |  |
| Total Actions                             | 0           | 0       | 1       | 0       |  |
|   | Ű           | Ũ       |         | 0       |  |
| Compliance MR/TR Requests                 |             |         |         |         |  |
| Modification Requests                     |             |         |         |         |  |
| Granted                                   | 0           | 0       | 0       | 0       |  |
| Denied                                    | 0           | 0       | 0       | 0       |  |
|   | 0           | 0       | 0       | 0       |  |
| Termination Requests                      | 0           | 0       | 0       | 0       |  |
| Granted                                   | 0           | 0       | 0       | 0       |  |
| Denied<br>Dismissals                      | 0           | 0       | 0       | 0       |  |
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| RESPIRATORY CA                            |             | CISIONS |         |         |  |
| Third Q                                   | uarter 2021 |         |         |         |  |

| RESP. CARE BOARD DECISIONS                             | 3/1 to 5/31 | FY 2021   | FY 2020 | FY 2019 |
|--|-------------|-----------|---------|---------|
|  | 3/1 10 5/31 | FT 2021   | FT 2020 | FT 2019 |
| Disciplinary Actions<br>Temporary Disciplinary Actions |             |           |         |         |
| Suspensions  | 0           | F         | 1       | 2       |
| Restrictions   | 0           | 5         | 4       | 3       |
| Final Disciplinary Actions                             | 0           | 0         | 0       | 0       |
|  | 0           |           | 4.4     |         |
| Revocations/Surrenders                                 | 0           | 1         | 11      | 14      |
| Suspensions  | 0           | 0         | 0       | 1       |
| Public Reprimands                                      | 0           | 0         | 0       | 2       |
| Restrictions   | 0           | 0         | 5       | 13      |
| Administrative Penalties                               | 0           | 2         | 0       | C       |
| Cease and Desist                                       | 0           | 0         | 0       | C       |
| Agreed Resolutions                                     | 0           | 2         | 22      | 15      |
| Licensed with Conditions                               | 0           | 0         | 1       | 3       |
| License Denied following S.O.A.H. Hearing              | 0           | 0         | 0       | C       |
| Total Disciplinary Decisions                           | 0           | 7         | 43      | 51      |
|  |             |           |         | -       |
| Remedial Plans   | 0           | 1         | 3       | 0       |
| Licensure Remedial Plans                               | 0           | 0         | 0       | 3       |
| Total Penalty Value                                    | 0           | 0         | 1,500   | 2,000   |
| Total Actions  | 0           | 8         | 46      | 54      |
|  |             |           |         |         |
| Compliance MR/TR Requests                              |             |           |         |         |
| Modification Requests                                  | 0           | 4         | 4       |         |
| Granted  | 0           | 1         | 1       | 0       |
| Denied   | 0           | 0         | 0       | (       |
| Termination Requests                                   |             |           |         |         |
| Granted  | 0           | 0         | 1       | 0       |
| Denied   | 0           | 1         | 0       | C       |
| <u>Dismissals</u>                                      | 0           | 13        | 30      | 59      |
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| MED. RADIOLOGIC T                                      | ECH. BOARD  | DECISIONS |         |         |
|  | uarter 2021 |           |         |         |

| ED. RAD. TECH. BOARD DECISIONS            | 3/1 to 5/31 | FY 2021 | FY 2020 | FY 2019 |
|---|-------------|---------|---------|---------|
| sciplinary Actions                        |             |         |         |         |
| Temporary Disciplinary Actions            |             |         |         |         |
| Suspensions                               | 0           | 5       | 2       |         |
| Restrictions                              | 0           | 0       | 0       |         |
| Final Disciplinary Actions                |             |         |         |         |
| Revocations/Surrenders                    | 0           | 0       | 34      | 2       |
| Suspensions                               | 0           | 0       | 1       |         |
| Public Reprimands                         | 0           | 0       | 4       |         |
| Restrictions                              | 0           | 0       | 13      |         |
| Administrative Penalties                  | 0           | 0       | 0       |         |
| Cease and Desist                          | 0           | 0       | 0       |         |
| Licensed with Conditions                  | 0           | 0       | 7       |         |
| License Denied following S.O.A.H. Hearing | 0           | 0       | 0       |         |
| Agreed Desolutions                        |             |         |         |         |
| Agreed Resolutions                        | 0           | 2       | 69      |         |
| Consent Orders                            | 0           | 0       | 0       |         |
| Total Disciplinary Decisions              | 0           | 9       | 130     | 10      |
|   |             |         |         |         |
| Remedial Plans                            | 0           | 0       | 2       |         |
| Licensure Remedial Plans                  | 0           | 0       | 2       |         |
| Total Penalty Value                       | 0           | 0       | 3,000   | 10,0    |
| Total Actions                             | 0           | 9       | 134     | 1       |
|   |             |         |         |         |
| Compliance MR/TR Requests                 |             |         |         |         |
| Modification Requests                     |             |         |         |         |
| Granted                                   | 0           | 0       | 2       |         |
| Denied                                    | 0           | 0       | 0       |         |
| Termination Requests                      | Ŭ           | 0       |         |         |
| Granted                                   | 0           | 1       | 3       |         |
| Denied                                    | 0           | 0       | 0       |         |
| Dismissals                                | 0           | 30      | 81      | -       |
| Distilissais                              | 0           | 30      | 01      |         |
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| MEDICAL PHYS                              |             |         |         |         |
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| MEDICAL PHYSICISTS DECISIONS              | 3/1 to 5/31  | FY 2021 | FY 2020 | FY 2019 |
|---|--------------|---------|---------|---------|
| Disciplinary Actions                      |              |         |         |         |
| Temporary Disciplinary Actions            |              |         |         |         |
| Suspensions                               | 0            | 0       | 0       | 0       |
| Restrictions                              | 0            | 0       | 0       | 0       |
| Final Disciplinary Actions                |              |         |         |         |
| Revocations/Surrenders                    | 0            | 0       | 0       | 0       |
| Suspensions                               | 0            | 0       | 0       | 0       |
| Public Reprimands                         | 0            | 0       | 0       | 0       |
| Restrictions                              | 0            | 0       | 0       | 0       |
| Administrative Penalties                  | 0            | 0       | 0       | 0       |
| Cease and Desist                          | 0            | 0       | 0       | 0       |
| Licensed with Conditions                  | 0            | 0       | 0       | 0       |
| License Denied following S.O.A.H. Hearing | 0            | 0       | 0       | 0       |
| Total Disciplinary Decisions              | 0            | 0       | 0       | 0       |
|   |              |         |         |         |
| Remedial Plans                            | 0            | 0       | 0       | 0       |
| Licensure Remedial Plans                  | 0            | 0       | 0       | 0       |
| Total Penalty Value                       | 0            | 0       | 0       | 0       |
| Total Actions                             | 0            | 0       | 0       | 0       |
|   |              |         |         |         |
| Compliance MR/TR Requests                 |              |         |         |         |
| Modification Requests                     |              |         |         |         |
| Granted                                   | 0            | 0       | 0       | 0       |
| Denied                                    | 0            | 0       | 0       | 0       |
| Termination Requests                      |              |         |         |         |
| Granted                                   | 0            | 0       | 0       | 0       |
| Denied                                    | 0            | 0       | 0       | 0       |
| <u>Dismissals</u>                         | 0            | 0       | 0       | 0       |
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| DEDEUGIONI                                | STS DECISIOI |         |         |         |
|   | uarter 2021  | NJ      |         |         |
| i nira Q                                  |              |         |         |         |
|   |              |         |         |         |

| PERFUSIONISTS DECISIONS                   | 3/1 to 5/31 | FY 2021 | FY 2020 | FY 2019 |
|---|-------------|---------|---------|---------|
| Disciplinary Actions                      |             |         |         |         |
| Temporary Disciplinary Actions            |             |         |         |         |
| Suspensions                               | 0           | 0       | 0       | 0       |
| Restrictions                              | 0           | 0       | 0       | 0       |
| Final Disciplinary Actions                |             |         |         |         |
| Revocations/Surrenders                    | 0           | 0       | 0       | 0       |
| Suspensions                               | 0           | 0       | 0       | 0       |
| Public Reprimands                         | 0           | 0       | 0       | 0       |
| Restrictions                              | 0           | 0       | 0       | 0       |
| Administrative Penalties                  | 0           | 0       | 0       | 0       |
| Cease and Desist                          | 0           | 0       | 0       | 0       |
| Licensed with Conditions                  | 0           | 0       | 0       | 0       |
| License Denied following S.O.A.H. Hearing | 0           | 0       | 0       | 0       |
| Total Disciplinary Decisions              | 0           | 0       | 0       | 0       |
|   |             |         |         |         |
| Remedial Plans                            | 0           | 0       | 0       | 0       |
| Licensure Remedial Plans                  | 0           | 0       | 0       | 0       |
| Total Penalty Value                       | 0           | 0       | 0       | 0       |
| Total Actions                             | 0           | 0       | 0       | 0       |
| Compliance MR/TR Requests                 |             |         |         |         |
| Modification Requests                     |             |         |         |         |
| Granted                                   | 0           | 0       | 0       | 0       |
| Denied                                    | 0           | 0       | 0       | 0       |
| Termination Requests                      | Ŭ           | 0       | 0       |         |
| Granted                                   | 0           | 0       | 0       | 0       |
| Denied                                    | 0           | 0       | 0       | 0       |
| Dismissals                                | 0           | 0       | 0       | 1       |

| Legal Number | Document Type     | Approved by Board | Rejected by Board | Board Meeting Date |
|--------------|-------------------|-------------------|-------------------|--------------------|
| 20-0012      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 20-0397      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 20-0602      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0042      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0157      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0222      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0319      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0321      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0322      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0353      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0357      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0371      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0380      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0478      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0495      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 21-0500      | Dismissal – Attny | Yes               | No                | 6/11/2021          |
| 19-0515      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0202      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0750      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0762      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0788      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0798      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0812      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-0905      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-1019      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 20-1041      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0051      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0140      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0174      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0178      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0180      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0181      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0184      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0205      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |
| 21-0206      | Dismissal - ISC   | Yes               | No                | 6/11/2021          |

| 21-0213 | Dismissal - ISC  | Yes | No | 6/11/2021 |
|---------|------------------|-----|----|-----------|
| 21-0217 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0221 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0223 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0235 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0236 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0244 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0247 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0253 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0255 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0259 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0270 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0271 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0276 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0285 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0298 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0300 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0318 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0321 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0332 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0334 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0339 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0345 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0347 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0351 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0354 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0355 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0359 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0367 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 21-0373 | Dismissal - ISC  | Yes | No | 6/11/2021 |
| 20-0710 | Dismissal - PHP  | Yes | No | 6/11/2021 |
| 21-0127 | Dismissal - PHP  | Yes | No | 6/11/2021 |
| 21-0215 | Dismissal - PHP  | Yes | No | 6/11/2021 |
| 21-0296 | Dismissal - PHP  | Yes | No | 6/11/2021 |
| 21-0310 | Dismissal - PHP  | Yes | No | 6/11/2021 |
| 20-0920 | Dismissal - SOAH | Yes | No | 6/11/2021 |
|         |                  |     |    |           |

## DPRC JUNE 10, 2021

## Disciplinary Committee Decisions Regarding Complaints Reviewed Pursuant to Staff or ISC Panel Recommendation (Physicians)

Total : 271

| Log Number | Close | Continue | ISC | Hold | NA |
|------------|-------|----------|-----|------|----|
| 200599     | Х     |          |     |      |    |
| 202267     | Х     |          |     |      |    |
| 204383     | Х     |          |     |      |    |
| 204763     | Х     |          |     |      |    |
| 205087     | Х     |          |     |      |    |
| 205776     | Х     |          |     |      |    |
| 205777     | Х     |          |     |      |    |
| 206045     | Х     |          |     |      |    |
| 206227     | Х     |          |     |      |    |
| 206391     | Х     |          |     |      |    |
| 206957     | Х     |          |     |      |    |
| 207031     | Х     |          |     |      |    |
| 207728     | Х     |          |     |      |    |
| 207754     | Х     |          |     |      |    |
| 207784     | Х     |          |     |      |    |
| 207977     | Х     |          |     |      |    |
| 208159     | Х     |          |     |      |    |
| 208166     | Х     |          |     |      |    |
| 208188     | Х     |          |     |      |    |
| 208239     | Х     |          |     |      |    |
| 208249     | Х     |          |     |      |    |
| 208267     | Х     |          |     |      |    |
| 208288     | Х     |          |     |      |    |
| 208354     | Х     |          |     |      |    |
| 208458     | Х     |          |     |      |    |
| 208529     | Х     |          |     |      |    |
| 208556     | Х     |          |     |      |    |
| 208565     | Х     |          |     |      |    |
| 208576     | Х     |          |     |      |    |
| 208616     | X     |          |     |      |    |
| 208717     | X     |          |     |      |    |
| 208761     | X     |          |     |      |    |
| 208765     | X     |          |     |      |    |
| 208855     | Х     |          |     |      |    |
| 208860     | X     |          |     |      |    |
| 208864     | X     |          |     |      |    |
| 208871     | Х     |          |     |      |    |
| 209110     | X     |          |     |      |    |
| 209118     | X     |          |     |      |    |
| 210002     | Х     |          |     |      |    |

| Log Number | Close | Continue | ISC | Hold | ΝΑ |
|------------|-------|----------|-----|------|----|
| 210006     | х     |          |     |      |    |
| 210056     | Х     |          |     |      |    |
| 210076     | х     |          |     |      |    |
| 210090     | Х     |          |     |      |    |
| 210091     | Х     |          |     |      |    |
| 210138     | Х     |          |     |      |    |
| 210143     | Х     |          |     |      |    |
| 210231     | Х     |          |     |      |    |
| 210376     | Х     |          |     |      |    |
| 210385     | Х     |          |     |      |    |
| 210401     | Х     |          |     |      |    |
| 210453     | Х     |          |     |      |    |
| 210475     | Х     |          |     |      |    |
| 210498     | Х     |          |     |      |    |
| 210551     | Х     |          |     |      |    |
| 210644     | Х     |          |     |      |    |
| 210649     | Х     |          |     |      |    |
| 210653     | Х     |          |     |      |    |
| 210683     | Х     |          |     |      |    |
| 210728     | Х     |          |     |      |    |
| 210866     | Х     |          |     |      |    |
| 210867     | Х     |          |     |      |    |
| 210891     | Х     |          |     |      |    |
| 210915     | Х     |          |     |      |    |
| 210917     | Х     |          |     |      |    |
| 210970     | Х     |          |     |      |    |
| 210971     | Х     |          |     |      |    |
| 210984     | Х     |          |     |      |    |
| 211002     | Х     |          |     |      |    |
| 211040     | Х     |          |     |      |    |
| 211070     | Х     |          |     |      |    |
| 211091     | Х     |          |     |      |    |
| 211092     | Х     |          |     |      |    |
| 211125     | Х     |          |     |      |    |
| 211127     | Х     |          |     |      |    |
| 211138     | Х     |          |     |      |    |
| 211141     | Х     |          |     |      |    |
| 211142     | Х     |          |     |      |    |
| 211143     | Х     |          |     |      |    |
| 211144     | Х     |          |     |      |    |
| 211145     | х     |          |     | 1    |    |
| 211209     | Х     |          |     |      |    |
| 211210     | Х     |          |     |      |    |
| 211217     | Х     |          |     |      |    |
| 211219     | х     |          |     |      |    |
| 211238     | Х     |          |     |      |    |

| Log Number | Close | Continue | ISC | Hold | ΝΑ |
|------------|-------|----------|-----|------|----|
| 211283     | х     |          |     |      |    |
| 211289     | х     |          |     |      |    |
| 211305     | х     |          |     |      |    |
| 211310     | Х     |          |     |      |    |
| 211318     | х     |          |     |      |    |
| 211377     | Х     |          |     |      |    |
| 211410     | Х     |          |     |      |    |
| 211429     | Х     |          |     |      |    |
| 211441     | х     |          |     |      |    |
| 211515     | х     |          |     |      |    |
| 211533     | х     |          |     |      |    |
| 211552     | х     |          |     |      |    |
| 211557     | х     |          |     |      |    |
| 211564     | х     |          |     |      |    |
| 211568     | х     |          |     |      |    |
| 211570     | х     |          |     |      |    |
| 211593     | х     |          |     |      |    |
| 211604     | Х     |          |     |      |    |
| 211605     | Х     |          |     |      |    |
| 211607     | Х     |          |     |      |    |
| 211622     | Х     |          |     |      |    |
| 211663     | Х     |          |     |      |    |
| 211707     | х     |          |     |      |    |
| 211708     | х     |          |     |      |    |
| 211759     | Х     |          |     |      |    |
| 211834     | Х     |          |     |      |    |
| 211854     | х     |          |     |      |    |
| 211857     | Х     |          |     |      |    |
| 211865     | Х     |          |     |      |    |
| 211880     | Х     |          |     |      |    |
| 211900     | Х     |          |     |      |    |
| 211913     | Х     |          |     |      |    |
| 211978     | х     |          |     |      |    |
| 211979     | х     |          |     |      |    |
| 211995     | х     |          |     |      |    |
| 212027     | х     |          |     |      |    |
| 212042     | х     |          |     |      |    |
| 212048     | х     |          |     |      |    |
| 212110     | х     |          |     |      |    |
| 212111     | х     |          |     |      |    |
| 212112     | х     |          |     |      |    |
| 212113     | х     |          |     |      |    |
| 212119     | х     |          |     |      |    |
| 212128     | х     |          |     |      |    |
| 212148     | х     |          |     |      |    |
| 212171     | Х     |          |     |      |    |

| Log Number | Close | Continue | ISC | Hold | ΝΑ |
|------------|-------|----------|-----|------|----|
| 212173     | Х     |          |     |      |    |
| 212174     | Х     |          |     |      |    |
| 212175     | Х     |          |     |      |    |
| 212213     | Х     |          |     |      |    |
| 212218     | Х     |          |     |      |    |
| 212229     | Х     |          |     |      |    |
| 212264     | Х     |          |     |      |    |
| 212290     | Х     |          |     |      |    |
| 212299     | Х     |          |     |      |    |
| 212303     | Х     |          |     |      |    |
| 212310     | Х     |          |     |      |    |
| 212373     | Х     |          |     |      |    |
| 212409     | Х     |          |     |      |    |
| 212428     | Х     |          |     |      |    |
| 212440     | Х     |          |     |      |    |
| 212499     | Х     |          |     |      |    |
| 212516     | Х     |          |     |      |    |
| 212520     | Х     |          |     |      |    |
| 212522     | Х     |          |     |      |    |
| 212523     | Х     |          |     |      |    |
| 212541     | Х     |          |     |      |    |
| 212567     | Х     |          |     |      |    |
| 212598     | Х     |          |     |      |    |
| 212625     | Х     |          |     |      |    |
| 212664     | Х     |          |     |      |    |
| 212673     | Х     |          |     |      |    |
| 212680     | Х     |          |     |      |    |
| 212690     | Х     |          |     |      |    |
| 212700     | Х     |          |     |      |    |
| 212712     | Х     |          |     |      |    |
| 212714     | Х     |          |     |      |    |
| 212720     | X     |          |     |      |    |
| 212757     | Х     |          |     |      |    |
| 212793     | Х     |          |     |      |    |
| 212870     | Х     |          |     |      |    |
| 212873     | X     |          |     |      |    |
| 212880     | Х     |          |     |      |    |
| 212906     | Х     |          |     |      |    |
| 212911     | X     |          |     |      |    |
| 212924     | X     |          |     |      |    |
| 212940     | X     |          |     |      |    |
| 212997     | Х     |          |     |      |    |
| 213022     | X     |          |     |      |    |
| 213025     | X     |          |     |      |    |
| 213033     | X     |          |     |      |    |
| 213037     | Х     |          |     |      |    |

| Log Number | Close | Continue    | ISC        | Hold        | NA      |
|------------|-------|-------------|------------|-------------|---------|
| 213075     | х     |             |            |             |         |
| 213079     | х     |             |            |             |         |
| 213089     | х     |             |            |             |         |
| 213193     | Х     |             |            |             |         |
| 213199     | х     |             |            |             |         |
| 213237     | X     |             |            |             |         |
| 213240     | X     |             |            |             |         |
| 213244     | х     |             |            |             |         |
| 213325     | х     |             |            |             |         |
| 213336     | х     |             |            |             |         |
| 213339     | X     |             |            |             |         |
| 213359     | х     |             |            |             |         |
| 213382     | х     |             |            |             |         |
| 213439     | X     |             | 1          |             |         |
| 213441     | X     | 1           |            |             |         |
| 213466     | х     |             |            |             |         |
| 213486     | X     |             | 1          |             |         |
| 213514     | Х     |             |            |             |         |
| 213515     | х     |             |            |             |         |
| 213682     | х     |             |            |             |         |
| 213709     | х     |             |            |             |         |
| 213817     | Х     |             |            |             |         |
| 213818     | х     |             |            |             |         |
| 214056     | Х     |             |            |             |         |
| 214063     | Х     |             |            |             |         |
| 214086     | Х     |             |            |             |         |
| 214094     | Х     |             |            |             |         |
| 214326     | Х     |             |            |             |         |
| 214329     | Х     |             |            |             |         |
| 214440     | Х     |             |            |             |         |
| 214593     | Х     |             |            |             |         |
| 214722     | Х     |             |            |             |         |
| 215282     | Х     |             |            |             |         |
|            |       |             |            |             |         |
|            |       |             |            |             |         |
|            |       | Investigati | on with Wa | arning Lett | er sent |
| 212878     | х     |             |            |             |         |
| 212928     | х     |             |            |             |         |
| 212929     | Х     |             |            |             |         |
| 213006     | Х     |             |            |             |         |
| 213007     | Х     |             |            |             |         |
| 213170     | Х     |             |            |             |         |
| 213174     | Х     |             |            |             |         |
| 213271     | Х     |             |            |             |         |
| 213390     | Х     |             |            |             |         |

| Log Number         Close         Continue         ISC         Hold         NA           213393         X <th></th>  |  |
|---|--|
| 213394       X       Image: Constraint of the system of the syste               |  |
| 213396       X       Image: Constraint of the system of the syste               |  |
| 213540       X       Image: Constraint of the system of the syste               |  |
| 213542       X       Image: Constraint of the system of the syste               |  |
| 213545       X       Image: Constraint of the state of the s               |  |
| 213548       X       Image: Constraint of the system         213549       X       Image: Constraint of the system         213607       X       Image: Constraint of the system         213608       X       Image: Constraint of the system         213708       X       Image: Constraint of the system         213727       X       Image: Constraint of the system         213728       X       Image: Constraint of the system         213772       X       Image: Constraint of the system   |  |
| 213549       X       Image: Constraint of the state of the s               |  |
| 213607       X       Image: Constraint of the second secon               |  |
| 213608     X     Image: Constraint of the second se |  |
| 213723     X        213726     X        213727     X        213728     X        213772     X  |  |
| 213726         X         Image: Constraint of the second se       |  |
| 213727         X         Image: Constraint of the second se       |  |
| 213728         X         Image: Constraint of the second se       |  |
| 213772 X  |  |
|   |  |
| 213879 X  |  |
| 213880 X  |  |
| 213882 X  |  |
| 214062 X  |  |
| 214101 X  |  |
| 214127 <b>X</b>   |  |
| 214128 X  |  |
| 214129 X  |  |
| 214132 X  |  |
| 214133 X  |  |
| 214159 X  |  |
| 214174 X  |  |
| 214175 X  |  |
| 214526 X  |  |
| 214609 X  |  |
| 214632 X  |  |
| 214638 X  |  |
| 214760 X  |  |
| 214806 X  |  |
| 214808 X  |  |
| 214814 X S  |  |
| 214818 X  |  |
| 214970 X  |  |
| 215067 <b>X</b>   |  |
| 215134 X  |  |
| 215177 <b>X</b>   |  |
| 215227 <b>X</b>   |  |
| 215229 X  |  |
| 215231 X  |  |
| 215234 X  |  |
| 215237 X  |  |

| Log Number | Close | Continue | ISC | Hold | NA |
|------------|-------|----------|-----|------|----|
| 215301     | Х     |          |     |      |    |
| 215337     | Х     |          |     |      |    |
| 215373     | Х     |          |     |      |    |
| 215418     | Х     |          |     |      |    |
| 215464     | Х     |          |     |      |    |