

**Texas Medical Board
Proposed FY 2023 Internal Audit Plan
As of August 2022**

Audit Area	Risk Rating	Summary Procedures	Audit Focus
2023 Planned New Internal Audits			
Enforcement	High	Internal Audit will include an evaluation of risks and internal controls in place related to TMB's enforcement processes. Activities to be considered for evaluation will include enforcement support, administrative functions, licensee complaint receipt and processing, temporary suspension or restriction, investigation referrals, case coordination, and appeals.	Internal Audit
2023 Planned Internal Audit Follow-ups			
Registration	Moderate	Internal Audit will perform follow-up procedures on 2020 Internal Audit findings to ensure corrective action has been taken.	Follow-up
Cybersecurity (SAO)	High	Internal Audit will perform follow-up procedures on 2020 Texas State Auditor's Office findings to ensure corrective action has been taken.	Follow-up
Revenue	High	Internal Audit will perform follow-up procedures on 2021 Internal Audit findings to ensure corrective action has been taken.	Follow-up
Texas Physician Health Program	High	Internal Audit will perform follow-up procedures on 2022 Internal Audit findings to ensure corrective action has been taken.	Follow-up
2023 Planned Annual Requirements			
Project Management	NA	Track overall internal audit procedures, coordinate audit activities, and reporting to management.	Project Management
Update Risk Assessment	NA	Perform required annual update of risk assessment	Policy Compliance
Annual and Quarterly Board Reports	NA	Prepare and submit required Annual Internal Audit Report and quarterly reports to the Executive Committee of internal audit activities.	Policy Compliance