



Texas Medical Board Internal Annual Audit Plan Fiscal 2024



Proposed audit plan pending
Texas Medical Board approval.
October 31, 2023.

Internal Audit Annual Audit Plan

Fiscal 2024 Audits

The internal audits planned for fiscal year 2024 were selected to address some of the agency's highest risk areas, based on prior year audits conducted within the agency, and with additional input from the Texas Medical Board executive team. This audit plan is pending approval by the Texas Medical Board. The board will meet December 8, 2023, to review and approve the audit plan for fiscal year 2024.

Due to statutory requirements to rotate auditors, the contract with the previous internal auditor, Weaver and Tidwell, LLP, expired on August 31, 2023. An internal auditor has not yet been identified as a replacement, but there is an active request for proposal. An internal auditor will be identified and approved by the board at the December meeting.

Audit Area	Audit Objective	Audit Focus
	Fiscal Year 2024 Planned Internal Audits	
Licensure	Review established policies and procedures in Licensure department to ensure compliance with applicable state laws, regulations, and department policies. Review select group of licensure applicants to ensure proper handling of applications based on existing procedures, policies, rules, and regulations.	Internal Audit
Compliance	Review established policies and procedures in Compliance department to ensure applicable state laws and regulations are being followed. Review monitoring program to ensure consistent application of internal policies and procedures, statutes, and regulations. Assess design and implementation of monitoring program to ensure remedial plans, orders are able to be properly executed.	Internal Audit
Texas Physician Health Program	Review established policies and procedures in Licensure department to ensure compliance with statutory requirements	Internal Audit

Fiscal 2024 Audit Follow-up

Audit Area	Audit Objective	Audit Focus
	Fiscal Year 2024 Planned Internal Audit Follow-ups	
Cyber Security (SOA)	Internal Audit will perform follow-up procedures on 2020 Texas State Auditor's Office findings to ensure corrective action has been taken	Follow-Up